

Case No. Shaffer 4-3-12-10



GAU 2739

TC 2700 MAIL ROOM

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#15  
6/13/00  
C. Robbins

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

In re Application of: SHAFFER ET AL.

Serial No.: 09/459,831

Filed: December 13, 1999

For: COMMUNICATIONS SYSTEM AND ASSOCIATED METHODS  
WITH OUT-OF-BAND CONTROL

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the  
above-identified application.

- ☐ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
- ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the  
amount of \$ 240.00.
- ☒ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
- ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check  
in the amount of \$ 130.00 to cover the petition fee.
- ☒ The Commissioner is hereby authorized to charge or credit any  
discrepancies in fee amounts to Deposit Account No. 12-2325.

Date: June 9, 2000

CHRISTOPHER F. REGAN  
Reg. No. 34,906  
Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A.  
P.O. Box 3791  
Orlando, FL 32802-3791  
255 South Orange Avenue - Suite 1401  
P. O. Box 3791  
Orlando, Florida 32802-3791  
PHONE: (407) 841-2330

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
**SHAFFER ET AL.**

Serial No. 09/459,831

Filed: December 13, 1999

For: **COMMUNICATIONS SYSTEM AND  
ASSOCIATED METHODS WITH OUT-  
OF-BAND CONTROL**



Examiner:

Art Unit: 2739

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**SUBMISSION OF AN INFORMATION  
DISCLOSURE STATEMENT UNDER §1.97(c) & (e)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached is a form PTO-1449 listing several references which may be considered material to the examination of the above-identified application. A copy of each reference is also enclosed.

Pursuant to §1.97(e), Applicants, by and through their undersigned attorney, certify pursuant to 37 CFR §1.97(e) that either:

(1) each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

(2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to

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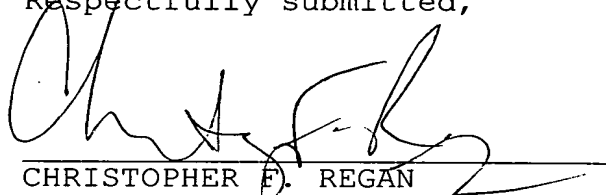
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any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Applicants respectfully request that the references cited herein be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97(c) and (e).

Respectfully submitted,



CHRISTOPHER F. REGAN

Reg. No. 34,906

Allen, Dyer, Doppelt, Milbrath  
& Gilchrist, P.A.

255 S. Orange Avenue, Suite 1401

Post Office Box 3791

Orlando, Florida 32802-3791

407-841-2330

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, on this 9 day of June, 2000.

